



## FinSA Client Information

Based on the legal requirements of Art. 8ff. of the Financial Services Act (FinSA), we would like to supply you with this information sheet which provides an overview of Stutz & Buschle AG (hereinafter referred to as the «**Financial Institution**») and its services.

### A) Company information

#### Address

Stutz & Buschle AG  
Hornbachstrasse 50  
CH-8008 Zurich  
Phone: +41 44 586 46 46  
Email: [info@fosb.ch](mailto:info@fosb.ch)  
Website: [www.fosb.ch](http://www.fosb.ch)

The Financial Institution was established on 11.11.2019.

#### Supervisory authority and audit firm

Under the new Federal Act on Financial Institutions (FinIA), all financial institutions need a license from FINMA to carry out their professional activities as a portfolio manager. The Financial Institution has been authorised by FINMA since 28.07.2023 and is supervised by the supervisory organization AOOS – Schweizerische Aktiengesellschaft für Aufsicht. The Financial Institution is audited by the auditing company Honold Treuhand AG.

#### Supervisory organization

AOOS – Schweizerische Aktiengesellschaft für Aufsicht  
Clausiusstrasse 50  
CH-8006 Zurich  
Phone: +41 44 215 98 98  
Email: [info@aos.ch](mailto:info@aos.ch)  
Website: <http://www.aos.ch>

#### Audit firm name

Honold Treuhand AG  
Sempacherstrasse 15  
CH-8032 Zurich  
Phone: +41 44 421 33 33  
Email: [info@honold.com](mailto:info@honold.com)  
Website: <http://www.honold.com>



## Ombudsman

The Financial Institution is affiliated with the independent ombudsman office OFS Ombud Finance Switzerland, which is recognized by the Federal Department of Finance. Disputes concerning legal claims between the client and the Financial Institution should be settled by an ombudsman's office, if possible, within the framework of a mediation procedure.

OFS Ombud Finance Switzerland  
16 Boulevard des Tranchées  
CH-1206 Geneva  
Phone: +41 22 808 04 51  
Email: [contact@ombudfinance.ch](mailto:contact@ombudfinance.ch)  
Website: <http://www.ombudfinance.ch>

## B) Information on the offered financial services

The Financial Institution provides asset management and family office services to its clients.

## C) Client segmentation

Financial service providers are required to classify their clients into a client segmentation according to the law and adhere to the respective code-of-conduct. The Financial Services Act provides for «retail clients», «professional clients» and «institutional clients» segments. For each client, a client classification is determined within the framework of the cooperation with the Financial Institution. Subject to certain conditions, the client may change the client classification by opting out.

## D) Information on risks and costs

### General risks associated with financial instruments transactions

Asset management services involve financial risks. The brochure «Risks Involved in Trading Financial Instruments» can be downloaded at <https://www.swissbanking.ch/en/downloads>. Clients of the Financial Institution may contact their client advisor at any time if they have any further questions.

### Risks associated with the offered services

For a description of the various risks that may arise from the investment strategy for clients' assets, please refer to the relevant asset management agreement.

### Information on costs

A fee is charged for the services rendered, which is usually calculated on the assets under management. For more detailed information, please refer to the relevant asset management agreement.



## E) Information about relationships with third parties

In connection with the financial services offered by the Financial Institution, economic ties may exist with third parties. The acceptance of payments from third parties as well as their treatment are regulated in detail and comprehensively in the respective asset management agreements.

## F) Information on the market offer considered

The Financial Institution follows an «open architecture approach» and attempts at all times to make the best possible choice for its clients when selecting financial instruments.